




General FAIS disclosures
for
Lionel Isaacs Insurance Brokers (Pty) Ltd
FSP#: 13343

<u>Preparation & Reviewing:</u>	
Previous review date:	14 August 2023
Latest review date:	7 March 2024
Next review date:	1 March 2025
<u>Approval:</u>	<u>Signature:</u>
<u>Reviewed and Approved by:</u>	
Craig Watkins (Director and Key Individual)	

General FAIS disclosures

INTERMEDIARY DISCLOSURE

FSP Name: Lionel Isaacs Insurance Brokers (Pty) Ltd (herein referred to as LIIB)

Category I Short term Insurance Personal lines, Personal lines A 1 and Commercial lines

We are a Financial Service Provider (#13343) and have been in the financial services industry since 1965.

In terms of Section 45 of the Short Term Insurance Act (ACT NO 53 of 1998), Lionel Isaacs Insurance Brokers (Pty) Ltd are authorised to collect premiums from our clients. Lionel Isaacs' annual financial statements are subject to a statutory audit, as required by the Short Term Insurance Act (ACT NO 53 of 1998). A s19(2) &19(3) audit report is also produced as LIIB collects premiums on behalf of various short term insurance companies.

We do not own more than 10 % of issued shares directly or indirectly of any Financial Product provider. We are not an associated company of any product provider. We have earned more than 30 % of our total commission from Santam Ltd.

We earn our income from commission/fees that the Product Provider pays over to us on the products purchased by our clients and/or by charging a fee for services rendered to our clients. Full details/records thereof are recorded in accordance with our LIIB policy, specifically with regard to a possible conflict of interest. A Conflicts of Interest Policy is maintained to manage conflicts of interest in compliance with the provisions of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS"). LIIB implemented a Treating Clients Fairly policy which is available on the website.

LIIB has Professional Indemnity Insurance cover that is commensurate to the size of the business and the limit of cover meets the minimum requirement per The FAIS Act.

LIIB is represented by compliance officer Schalk W Kruger; Compliance Practice No 5410; Tel No: 082 570 3629; E-mail : skruger@lantic.net; Address: 271 Orion Avenue, Waterkloof, 0181

In terms of the FAIS Act we are obliged to set up a complaints resolution process. Please contact our office or refer to the complaints procedure document disclosed on our website at <https://www.liib.co.za/> to get more detailed information in this regard.

To view the list of licensed categories, Key Individuals and Representatives who are authorised under this licence, please refer to the following FAIS website link: https://www.fsca.co.za/Fais/Search_FSP.htm



GENERAL FAIS DISCLOSURES

STRICTLY PRIVATE AND CONFIDENTIAL

Lionel Isaacs Insurance Brokers (Pty) Ltd (Registration#1988/003853/07 & FSP#13343)

Directors: Lance Williams, Robert Segal, Craig Watkins

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