



**LIONEL ISAACS**  
INSURANCE BROKERS

**General FAIS disclosures**  
for  
Lionel Isaacs Insurance Brokers (Pty) Ltd  
FSP#: 13343

## 1. General FAIS disclosures

### INTERMEDIARY DISCLOSURE

FSP Name: Lionel Isaacs Insurance Brokers (Pty) Ltd (herein referred to as LIIB)

We are a Financial Service Provider and have been in the financial services industry since 1965.

In terms of Section 45 of the Short Term Insurance Act (ACT NO 53 of 1998), Lionel Isaacs Insurance Brokers (Pty) Ltd are authorised to collect premiums from our clients. Lionel Isaacs' annual financial statements are subject to a statutory audit, as required by the Short Term Insurance Act (ACT NO 53 of 1998), is in place.

We do not own more than 10 % of issued shares directly or indirectly of any Financial Product provider. We are not an associated company of any product provider. We have earned more than 30 % of our total fees or commission from Old Mutual Insure and Santam.

We earn our income from commission/fees that the Product Provider pays over to us on the products purchased by our clients and/or by charging a fee for services rendered to our clients. Full details / records thereof are recorded in accordance with our LIIB policy, specifically with regard to possible conflict of interest. A Conflicts of Interest Policy is maintained to manage conflicts of interest in compliance with the provisions of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS").

LIIB have Professional Indemnity Insurance cover of R100 million.

SWK Independent Compliance Services is LIIB's compliance company and is represented by compliance officer Schalk Kruger. Contact Details: 271 Orion Avenue, Waterkloof Ridge, Pretoria, 0181. Compliance Practice No: 5410 Tel No: 012-347 1801 / 082 570 3629 Fax No: (086) 571 8263 Website: [www.skruger@lantic.net](mailto:www.skruger@lantic.net).

GENERAL FAIS DISCLOSURES



STRICTLY PRIVATE AND CONFIDENTIAL

Lionel Isaacs Insurance Brokers (Pty) Ltd (Registration#1988/003853/07 & FSP#13343)  
Directors: Lance Williams, Robert Segal, Craig Watkins Tel #: 011 483 1802,  
Address: 1<sup>st</sup> Floor, 33 Scott Street, Waverley, Johannesburg

In terms of the FAIS Act we are obliged to set up a complaints resolution process. Please contact our office or refer to the complaints procedure document disclosed on our website at <https://www.liib.co.za/> to get more detailed information in this regard.

To view the list of Key Individuals and Representatives who are authorised under this licence, please refer to the following FAIS website link: [https://www.fsca.co.za/Fais/Search\\_FSP.htm](https://www.fsca.co.za/Fais/Search_FSP.htm)



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